

No.	Commitment or Recommendation	Source	Category	Lead Agency	WH Lead	Due Date
1	The Assistant to the President for National Security Affairs (APNSA), in consultation with the Director of National Intelligence (DNI), shall coordinate, on at least an annual basis, a review of the permissible uses of signals intelligence (SIGINT) collected in bulk through the National Security Council Principals and Deputies Committee system identified in PPD-1 or any successor document. At the end of the review [POTUS] will be presented with recommended additions to or removals from the list.	PPD-28 (§2)	Bulk	NSC	#INTEL	Annual
2	The DNI shall maintain a list of permissible uses of signals intelligence collected in bulk. The list shall be updated as necessary and made publicly available to the maximum extent feasible, consistent with national security.	PPD-28 (§2)	Bulk	DNI	#INTEL	Annual
3	The heads of departments and agencies that participate in the policy process for establishing signals intelligence priorities and requirements shall, on an annual basis, review any priorities or requirements identified by their departments or agencies and advise the DNI whether each should be maintained, with a copy of the advice provided to the APNSA. (see also classified annex)	PPD-28 (§3)	Policy	DNI	#INTEL	Annual
4	[Within 1 year] The DNI, in consultation with the Attorney General (AG), shall ensure that all elements of the Intelligence Community (IC) establish policies and procedures that apply the following principles for safeguarding personal information collected from signals intelligence activities. To enhance public understanding of, and promote public trust in, the safeguards in place to protect personal information, these updated or newly issued policies and procedures shall be publicly released to the maximum extent possible, consistent with classification requirements.	PPD-28 (§4)	Privacy	DNI/DOJ	New Privacy Officer	1/17/2015
<b>Principles for Safeguarding Personal Information Collection from SIGINT</b>						
5	Personal information shall be stored and processed under conditions that provide for adequate protections (See § 4(a)(ii) for specific requirements).	PPD-28 (§4)	Privacy	--	--	See Task 4
6	Personal information shall be included in intelligence products only as consistent with applicable IC standards for accuracy and objectives, as set forth in relevant IC directives.	PPD-28 (§4)	Privacy	--	--	See Task 4
7	Within 180 days of the date of this directive, the DNI, in coordination with the AG, the heads of the other elements of the IC, and the heads of departments and agencies containing other elements of the IC, shall prepare a report evaluating possible additional dissemination and retention safeguards for personal information collected through signals intelligence.	PPD-28 (§4)	Privacy	DNI/DOJ	New Privacy Officer	7/16/2014

8	The APNSA, the Director of the Office of Management and Budget (OMB), and the Director of the Office of Science and Technology Policy (OSTP) shall identify one or more senior officials who will be responsible for working with the DNI, the AG, the heads of other IC elements of the IC ... as they develop the policies and procedures called for in this section.	PPD-28 (\$4)	Policy	NSC/OMB/ OSTP	Same	Workplan due 2/28
9	The Secretary of State shall identify a senior official within the Department of State to coordinate with the responsible departments and agencies the United States Government's diplomatic and foreign policy efforts related to international information technology issues and to serve as a point of contact for foreign governments who wish to raise concerns regarding signals intelligence activities conducted by the United States.	PPD-28 (\$4)	Policy	State	#CYBER	Announce by 2/28
10	Within 180 days of the date of this directive, the DNI shall provide a status report that updates me on the progress of the IC's implementation of section 4 of this directive.	PPD-28 (\$5)	Privacy	DNI	#LEGAL, pending Privacy	7/16/2014
11	The Privacy and Civil Liberties Oversight Board [PCLOB] is encouraged to provide [the President] with a report that assesses the implementation of any matters contained within this directive that falls within its mandate.	PPD-28 (\$5)	PCLOB	PCLOB	#LEGAL or WHCO	Should we list this as complete?
12	Within 120 days of the date of this directive, the President's Intelligence Advisory Board [PIAB] shall provide [the President] with a report identifying options for assessing the distinction between metadata and other types of information.	PPD-28 (\$5)	Bulk	PIAB	#INTEL	5/17/2014
13	Within 120 days of the date of this directive, the [PIAB] shall provide [the President] with a report identifying options for replacing the "need-to-share" or "need-to-know" models for classified information with a work-related access model.	PPD-28 (\$5)	Security	PIAB	#TRANS BORDER (Info Sharing IPC)	5/17/2014
14	Within 1 year of the date of this directive, the DNI, in coordination with the heads of the relevant elements of the IC and OSTP, shall provide [the President] with a report assessing the feasibility of creating software that would allow the IC to more easily to conduct targeted information acquisition rather than bulk collection.	PPD-28 (\$5)	Bulk	DNI	#INTEL #OSTP	1/17/2015
15	Going forward, [the President directs] the DNI, in consultation with the AG, to annually review – for the purpose of declassification – any future opinions of the Court with broad privacy implications, and to report to me and Congress on these efforts.	Speech	Transparency	DNI	#LEGAL	Annual
16	To ensure that the Foreign Intelligence Surveillance Court (FISC) hears a broader range of privacy perspectives, [the President calls] on the Congress to authorize the establishment of a panel of advocates from outside government to provide an independent voice in significant cases before the FISC.	Speech	FISC	DOJ	#LEG	Workplan due 2/28

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17	[The President is] asking the AG and DNI to institute reforms that place additional restrictions on government's ability to retain, search, and use in criminal cases, communications between Americans and foreign citizens incidentally collected under Section 702.	Speech	Bulk	DNI/DOJ	#LEGAL or WHCO	Proposal due 2/17
18	[The President has] directed the AG to amend how we use National Security Letters so this secrecy will not be indefinite, and will terminate within a fixed time unless the government demonstrates a real need for further secrecy.	Speech	Transparency	DOJ	#LEGAL	Proposal due 2/17
19	We will also enable communications providers to make public more information than ever before about the orders they have received to provide data to the government.	Speech	Transparency	DOJ	WHCO	Workplan due 2/28
20	Effective immediately, we will only pursue phone calls that are two steps removed from a number associated with a terrorist organization instead of three.	Speech	Bulk	NSA	#DISCLOSURES	COMPLETE
21	And [the President has] directed the AG to work with the FISC so that during this transition period, the database can be queried only after a judicial finding, or in a true emergency.	Speech	FISC	DOJ	#LEGAL or WHCO	2/17/2013
22	Next, [the President has] instructed the intelligence community and AG to use this transition period to develop options for a new approach that can match the capabilities and fill the gaps that the Section 215 program was designed to address without the government holding this metadata. They will report back to [the President] with options for alternative approaches before the program comes up for reauthorization on March 28.	Speech	Bulk	DNI/DOJ	#LEGAL #INTEL	3/28/2014
23	During this period, [the President] will consult with the relevant committees in the Congress to seek their views and then seek congressional authorization for the program [replacing 215] as needed.	Speech	Bulk	NSS	#LEG	3/28/2014
24	[The President is] prepared to work with Congress [on additional reforms to National Security Letters and other issues].	Speech	Transparency	NSS	#LEG	Workplan due 2/28
25	[The President] has instructed [his] national security team, as well as the intelligence community, to work with foreign counterparts to deepen our coordination and cooperation in ways that rebuild trust going forward.	Speech	Policy	DNI	#INTEL	Workplan due 2/28
26	[The President] will devote the resources to centralize and improve the process we use to handle foreign requests for legal assistance, keeping our high standards for privacy while helping foreign partners fight crime and terrorism.	Speech	Other	OMB/NSS	#INTECON #CYBER	Workplan due 2/28

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27	[The President has] asked [his] Counselor, John Podesta, to lead a comprehensive review of big data and privacy. This group will consist of government officials who—along with the President’s Council of Advisors on Science and Technology—will reach out to privacy experts, technologists and business leaders, and look at how the challenges inherent in big data are being confronted by both the public and private sectors; whether we can forge international norms on how to manage this data; and how we can continue to promote the free flow of information in ways that are consistent with both privacy and security.	Speech	Other	WHO	WHO	Workplan due 2/28
RG1	The Review Group recommended a statutory change increasing the Sec. 215 standard to require a judicial finding that, in addition to being relevant to an investigation, the particular information sought about particular individuals is also “reasonable in focus, scope, and breadth.” We have committed to study recommendation #1 internally and encourage the PCLOB to do so as well.	Review Group	Bulk	DOJ	#LEGAL	3/17/2014
RG3	Recommends statutory change extending to National Security Letters (NSL) the same oversight, minimization, retention, and dissemination standards as for Sec. 215. We have committed to study recommendation #3 internally and encourage the PCLOB to do so as well.	Review Group	Bulk	DOJ	#LEGAL	3/17/2014
RG4	The Review Group advocated a principle that, as general rule, and without senior policy review, government should not be permitted to collect and store all mass, undigested, non-public information for purposes of enabling future queries and data mining; requirement that any such program be narrowly tailored to serve an important government interest.	Review Group	Bulk	--	--	See Tasks 1 and 2.
RG5	The Review Group recommended a statutory change to terminate Sec. 215 bulk telephony collection in favor of a store-at-the-provider approach.	Review Group	Bulk	--	--	See Task 22.
RG6	The Review Group recommended the commission a study of legal options for assessing distinctions between metadata and other information. We have endorsed recommendation #6 to commission a study on metadata and other information.	Review Group	Bulk	--	--	See Task 12.
RG7	The Review Group recommended statutory change increasing public reporting requirements on Foreign Intelligence Surveillance Act (FISA), NSLs, Sec. 215, Sec. 702, and PR/TT. We have committed to be more transparent in the use of NSLs.	Review Group	Transparency	--	--	See Tasks 18 and 19
RG 8	The Review Group recommended a statutory change increasing the threshold for the issuance of FISA and NSL non-disclosure orders, limiting their duration to 180 days without judicial approval, and permitting recipients to seek legal counsel to challenge the order’s validity. We don’t support judicial approval for nondisclosure and we disagree with the time frame, but we agree that NSLs should not remain secret forever.	Review Group	Transparency	--	--	See Task 18.

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RG 9	The Review Group recommended a statutory change to permit providers to periodically disclose the number of orders received, complied with, categories of information produced, and number of users affected in each category, unless the Government can demonstrate compelling national security harm.	Review Group	Transparency	--	--	See Task 19.
RG 10	The Review Group recommended that we expand the Government's existing requirements to publicly disclose general data about NSLs, and Sec. 215, PR/TT, and Sec. 702 orders. We have committed to be more transparent in the uses of NSLs.	Review Group	Transparency	--	--	See Task 19.
RG 11	The Review Group recommended we establish a strong presumption of transparency regarding bulk collection programs. We agree there should be greater transparency for bulk collection, so we are placing limitations on the uses of bulk data (reviewed annually) and making more information public, including FISC opinions that impact privacy concerns.	Review Group	Transparency	--	--	See Tasks 1, 2, and 15
RG 12	The Review Group proposed significant changes to the handling and use of USP information collected under Sec. 702 and EO 12333. We have endorsed changes to Section 702, under which the AG and the DNI will institute reforms that place additional restrictions on government's ability to retain, search, and use in criminal cases, communications between Americans and foreign citizens incidentally collected under Section 702.	Review Group	Privacy	--	--	See Task 17.
RG-13	The Review Group proposed standards for the protection of, and limitations affecting the use of, non-U.S. persons information collected under Sec. 702 and EO 12333. In his speech, the President committed to implementing additional protections for non-U.S. persons.	Review Group	Privacy	--	--	See Tasks 4 and 7.
RG 14	The Review Group recommended the extension of Privacy Act protections to non-U.S. persons. We have committed to study this recommendation.	Review Group	Privacy	DOJ	#LEGAL	Workplan due 2/28
RG 15	The Review Group supported closing the surveillance gap by giving NSA limited statutory authority to continue to track known targets when they first enter the United States, until a FISC order can be obtained. We support recommendation #15 but it requires legislation.	Review Group	Other	NSA	#LEG	Workplan due 2/28
RG 16	The Review Group recommended a policy process for Deputies and Principals-level approval for all sensitive intelligence requirements (including targeting heads of state). We endorse recommendation #16 and have created a process to implement it through PPD-28.	Review Group	Policy	--	--	See Task 3
RG 17	The Review Group recommended we adjust the National Intelligence Priorities Framework process to require senior policymaker review of requirements in all tiers for sensitive collection and targeting, and to include agencies responsible for U.S. economic interests. We endorse recommendation #17 and have created a process to implement it through PPD-28.	Review Group	Policy	--	--	See Task 3

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RG 18	The Review Group recommended we establish a mechanism through the DNI to monitor IC activities to ensure compliance with policymaker guidance, which would result in an annual report to the National Security Advisor and Congress. We endorse this recommendation.	Review Group	Oversight	DNI	#INTBL	Workplan due 2/28
RG 19	The Review Group proposed that we review our collection posture on foreign leaders.	Review Group	Policy	--	--	COMPLETE
RG 20	The Review Group recommended that we examine feasibility of creating software that would allow NSA and other intelligence agencies to conduct targeted collection rather than collection in bulk. We endorse this recommendation.	Review Group	Bulk	--	--	See Task 14
RG 21	The Review Group recommended we examine intelligence collection relationships with closely allied governments. We endorse recommendation 21 and the review is ongoing.	Review Group	Policy	--	--	See Task 3
RG 26	The Review Group recommended that we establish a Privacy and Civil Liberties officer at the National Security Council (NSC) and OMB. We endorse this recommendation.	Review Group	Privacy	--	--	See Task 8.
RG 27	The Review Group supports the expansion PCLOB's mandate beyond counterterrorism (CT) to create a new agency, the Civil Liberties and Privacy Protection Board, that can oversee IC activities for foreign intelligence (rather than only for CT) purposes, receive whistleblower complaints, conduct compliance and audit functions, and conduct technology assessments. We endorse this recommendation but it requires legislation to implement.	Review Group	Privacy	NSC	#LEG WHCO?	Workplan due 2/28
RG 28	The Review Group recommended that we create a public interest advocate to represent privacy interests before the FISC, increase transparency, and rotate the appointment power among the Supreme Court Justices. We endorse a panel of advocates to provide an independent voice in significant cases before the FISC.	Review Group	Transparency	--	--	See Task 16
RG 29	The Review Group recommended that we fully support encryption standards and not undermine encryption standards; not in any way subvert, undermine, weaken, or make vulnerable generally available commercial software; and increase use of encryption and urge U.S. companies to do so. We support the spirit of this recommendation but will need to study the implementation to ensure the National Security Agency retains its ability to perform its core mission of decrypting foreign communications.	Review Group	Policy	NSC/OSTP	Cyber Coord. OSTP	Workplan due 2/28
RG 30	The Review Group recommended that we establish interagency process led by NSC to review Zero Day exploits.	Review Group	Policy	NSC	#CYBER	Workplan due 2/28

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RG 31	The Review Group urged us to support international norms or agreements that will increase confidence in the security of communications, including prohibitions on economic espionage and manipulation of financial systems. Promote transparency about government requests for information, and avoid localization requirements for servers and technology facilities. We endorse continuing to support international norms.	Review Group	Policy	State	#CYBER	Workplan due 2/28
RG 32	The Review Group recommended that we establish an Assistant Secretary of State for diplomacy of international information technology issues. We have committed to identifying a senior official at the State Department on information technology and SIGINT issues.	Review Group	Policy	-	-	See Task 9
RG 33	The Review Group recommended that, as part of the diplomatic agenda on international information technology, we advocate for and explain our rationale for a multi-stakeholder internet governance model. We continue to support multi-stakeholder internet governance.	Review Group	Policy	State	#CYBER	Workplan due 2/28
RG 34	The Review Group recommended efforts to fix the Mutual Legal Assistance Treaty (MLAT) process. We support improving the MLAT process and are undertaking efforts to improve and centralize MLAT processing; we will implement new technology to increase efficiency and transparency of the process; and increase our international outreach and training to help ensure that requests meet U.S. legal standards.	Review Group	Policy	DOJ	#INTECON #CYBER	Workplan due 2/28
RG 35	The Review Group recommended we conduct routine impact assessments to assess the value and compliance of data mining programs. We endorse this recommendation.	Review Group	Other	ODNI	#INTEL	Workplan due 2/28
RG 36	The Review Group recommended the creation of program-by-program reviews for future developments in communications technology, informed by expert technologists, to assess and respond to emerging privacy and civil liberties, through the CLPP Board and other agencies. We will be establishing a Privacy and Civil Liberties officer, and are supportive of the idea of expanding the role of the PCLOB beyond CT. We also support ensuring our programs are reviewed for future developments in communications technology and assessed for emerging privacy and civil liberties concerns.	Review Group	Privacy	ODNI	#INTEL	Workplan due 2/28
RG 37	The Review Group recommended we move toward system in which background investigations/security clearance vetting is performed by U.S. Government employees or by a non-profit private sector corporation. We have committed to study this recommendation.	Review Group	Security	PAC	OMB	4/17/2014
RG 38	The Review Group recommended the use of a continuous monitoring standard for vetting personnel for access to classified information. This recommendation is implemented in part through the work of the Senior Information Sharing and Safeguarding Steering Committee established by E.O. 13587.	Review Group	Security	PAC	OMB	COMPLETE IN PART

RG 39	The Review Group recommended additional differentiation for security clearances, including "administrative access" clearances for IT personnel. We endorse this recommendation.	Review Group	Security	PAC SISSSC	OMB #CYBER	Workplan due 2/28
RG 40	The Review Group recommended the development of an "access score" capability to evaluate access to sensitive information; personnel with high access scores would be subject to additional monitoring. We will need to study how this recommendation might be implemented and the resources required for execution.	Review Group	Security	PAC	OMB	4/17/2014
RG 41	The Review Group supported replacing "need to know" and "need to share" with a work related access model. This recommendation is consistent with the recently released National Strategy for Information Sharing and Safeguarding, however further study is required regarding how the work-related access model would be implemented.	Review Group	Security	PIAB	#TRANS BORDER (Info Sharing IPC)	See Task 13, in part.
RG 42	The Review Group advocated upgrading cybersecurity systems protections, including continuous monitoring programs, and establish an annual report to the President on implementation. We endorse continuing to upgrade cyber security systems protections.	Review Group	Security	SISSSC	#CYBER	Workplan due 2/28
RG 43	The Review Group recommended we implement Executive Order (E.O) 13587 to improve the security of classified networks; provide plan to the President within 60 days and report to him quarterly thereafter. We endorse continuing to implement E.O. 13587.	Review Group	Security	SISSSC	#CYBER	Workplan due 2/28
RG 44	The Review Group recommended an annual Principals' meeting to review the security of U.S. networks, including "red team" analysis. We support an annual Principals review, as well as an interagency process to examine the "red team" concept.	Review Group	Security	SISSSC	#CYBER	Workplan due 2/28
RG 45	The Review Group recommended expanded use of processes and programs that restrict access to data and documents and create audit trails. We endorse this recommendation.	Review Group	Security	SISSSC	#CYBER	Workplan due 2/28
RG 46	The Review Group recommended the use of cost/benefit analysis and risk management approaches to personnel and network security. We endorse continuing to use cost/benefit analysis and risk management approaches.	Review Group	Security	OMB/NSC	OIRA #CYBER	Workplan due 2/28

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